

SECTOR 42 ✓

In re Application of:
Randhir Thakur
Serial No.: 09/590,464

ATTN: Application Branch

Date: November 2, 2000

Filed: June 9, 2000

Ref.: AGX-39

Title: Process For Forming Layers
In Semiconductor Wafers

Our Account No. 04-1403



Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 53(f)/63:

- ☒ Rule 53 signed Declaration enclosed.
- ☐ This is a Rule 47 filing per attached Petition.
- ☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.
- ☐ Specification originally filed in non-English language; hence verified translation attached of:
- ☐ Abstract [] pgs of Specification (only spec. & claims) [] Drawing Figs _____
- ☐ _____ sheet(s) of formal drawings enclosed: size: [] A4 [] 14" [] 8 1/2 by 11
- ☒ Attached is an assignment to Steaq CVD Systems, Ltd.
- ☐ Priority is hereby claimed under Rule 55 and 35 U.S.C. 119 based on prior foreign application(s) No(s). _____, respectively.
- ☐ Certified copy (copies) [] attached; [] already filed on _____ in U.S. Application, Serial No. _____, filed _____.
- ☐ Attached: _____ Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
- ☒ Attached is the requested return copy of the PTO Notice under Rule 53(f)/63
- ☒ Attached: Recordation Form Cover Sheet.
- ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED
PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee.....	\$ 710.00
Total Effective Claims <u>49</u> - 20 = <u>29</u> x \$18.00 =	<u>522.00</u>
Independent Claims <u>4</u> 3 = <u>1</u> x \$78.00 =	<u>78.00</u>
If <u>any proper</u> multiple dependent claim (ignore improper) is present, add \$260.00	
Filing Fee	<u>\$1,310.00</u>

Since PTO Notice under Rule 53(f)/63 set an original due date of October 3, 2000, petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$110; 2 months \$380; 3 months \$870; 4 months \$1360, 5 months \$1,850.00) \$ 110.00

If this is the <u>first</u> time either signed Declaration filed or filing fee paid, add Surcharge(\$130)	\$ 130.00
Subtotal	\$ _____
If "small entity" status box above is X'd, enter half (1/2) of subtotal & <u>subtract</u>	\$ _____
Total =	<u>\$1,550.00</u>

If "non-English" box above is X'd, add Rule 17(k) processing fee (\$130.00).....	\$ _____
If "assignment" box above is X'd, add recording fee (\$40.00).....	\$ <u>40.00</u>
If "Rule 47" box above is X'd, add Petition fee \$130.00 Rule 17(h).....	\$ _____

TOTAL FEE ENCLOSED \$1,590.00

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

ADDRESS:

**DORITY & MANNING
ATTORNEYS AT LAW, P.A.**

Suite 15, 700 E. North Street
Greenville, South Carolina 29601
(864) 271-1592
FAX (864) 233-7342

By Atty: Timothy A. Cassidy Reg. No. 38,024

Signature [Signature]

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail envelope addressed to:
Commissioner of Patents and Trademarks, Washington, D.C. 20231, on
November 2, 2000

Yolande R. Kennedy

(Typed or printed name of person mailing paper or fee)

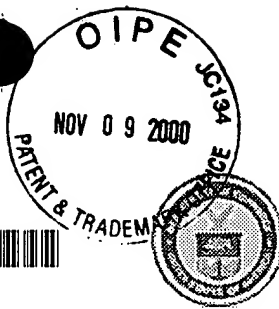
(Signature of person mailing paper or fee)

BEST AVAILABLE COPY

FORMALITIES LETTER



OC00000005294711

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/590,464	06/09/2000	Randhir P. S. Thakur	AGX-39

Timothy A Cassidy
Dority & Manning PA
P O Box 1449
Greenville, SC 29602-1449

Date Mailed: 08/03/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$600.
 - \$522 for 29 total claims over 20.
 - \$78 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1420.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

RECEIVED
AUG 14 2000

DORITY AND MANNING

DOCKETED FOR 030C2000

FORMALITIES LETTER



OC00000005294711

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/13/2000 HNOOR1 00000022 041403 09590464

03 FC:101		710.00 OP
04 FC:105		130.00 OP
05 FC:102		80.00 OP
06 FC:103	2.00 CH	520.00 OP